





Electronic Health Records: Platforms, Libraries, and Evidence

Kenneth D. Mandl, MD, MPH

Director, Intelligent Health Laboratory Children's Hospital Informatics Program Children's Hospital Boston

Center for Biomedical Informatics Harvard Medical School









"We have lots of information technology. We just don't have any information."







Libraries and the EHR

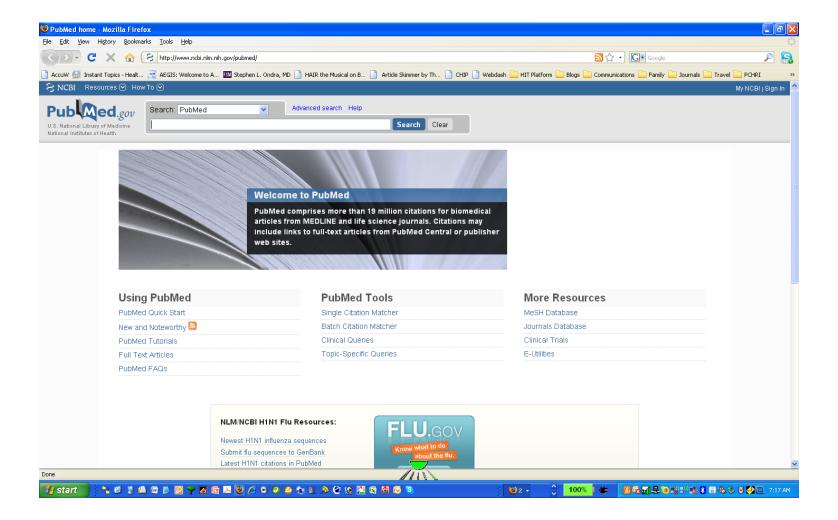
- Evidence delivery for Clinical Decision Making
 - Traditional evidence (the literature in journals)
 - Guidelines
- ✓ Knowledge resources
 - Consumer facing (Medline Plus)
 - Physician facing (Up to Date and beyond)
- Next Gen Knowledge Resources
 - Data to contextualize medical decisions
 - ☞ E.g., annotated gene variants
- Evidence about the HIT for HIT decision-makers (itdothealth)







Primary literature









Meta analysis

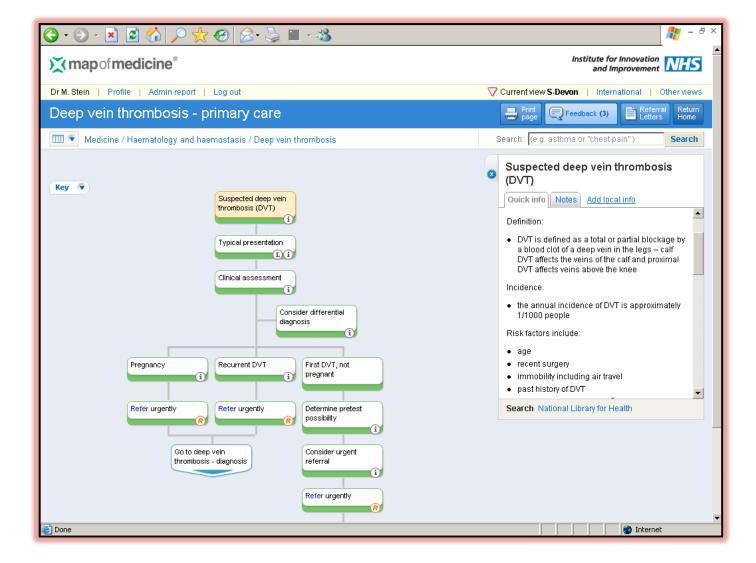
	e Cochrar chrane Ro	ne Collaborati eviews		Search Reviews Advanced search			
Explore	New +	Updated	Other languages	Full Text: 🛞 Th	Full Text: (1) The Cochrane Library		
search & browse		by topic	full list of all reviews	by country of author	by date range		
		Audio summarie	s Evidence Aid summaries Cochra	ane Methodology abstracts			
 » Home > Cochrane rev » Search and free summa » About Coch reviews » Example » Global impa » Most visited 	browse ries rane	all Cochrane s for more inform	offers free access to the abstracts an ystematic reviews. Links to the full-te ation on access). racts & summaries Search Reviews				
» The Cochrane » News	e Library						
» Events		Browse abst	tracts & summaries				
» Training resources » About us		 Full list Full list 	c (according to Cochrane Review Gro of reviews (alphabetical list by title) of protocols (alphabetical list by title of registered titles (alphabetical list b)			
		 New rev Updated By dated 	<i>and origin</i> iews only (all new reviews from the c I reviews only (all updated reviews fro range ntry of author				
		 Audio si Evidenci 	<i>collections</i> ummaries of selected reviews (Podca e Aid summaries (resources for natu se Methodology shetracts		e emergencies)		







Guidelines

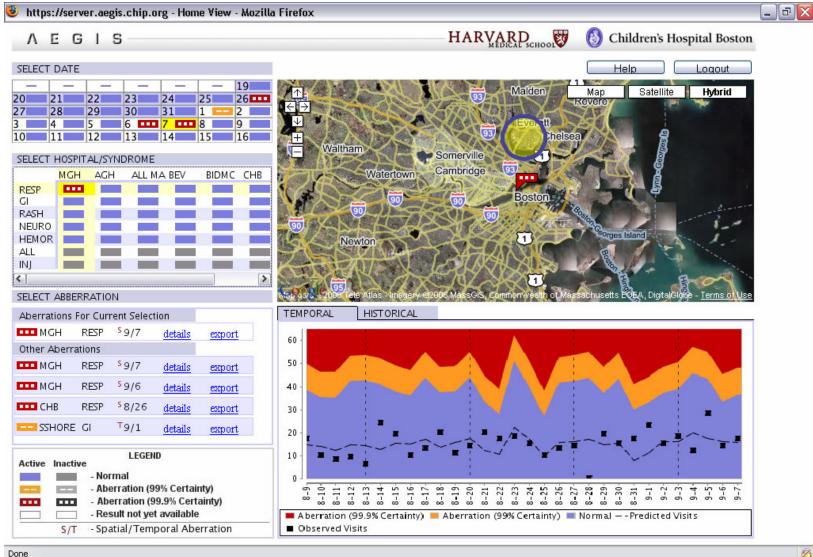








Live data









Use Case

A library wants to deliver one of these forms of evidence INTO the clinician worflow



Children's Hospital Informatics Program





Healthcare Reform includes a \$48B investment in HIT









What's in an EMR?

- Documentation
- Billing
- Laboratory information
- Medication management
- Communication

nation		KIBL
Vedant TestStream: Formulary Item: ACE NAR Documentation NAR Documentation VEDANT: TESTO7151932 Wet Test Open Task: On Docs Rei Test Open Task: On Docs Vedent Test Open Task: Vedent Task: O	1205 (Acetaminophen 120mg Sup), ************************************	DP Lab -* No Known Allergies 2520 Outpatient [1/10/2006 7:3 Device Inet Lab Rod Ployace Inet No Rod No Rod No Rod No Rod
distance distance Source Source Source Source History		1 EA 20 mg environity management set and the landary 10, 2006 (9:01 PM M5) Set and the landary 10, 2006 (9:01 PM M5) Set and the landary 10, 2006 (9:01 PM M5)







David Blumenthal (ONC director)

- 2008—Only about 4% of primary care practices have full electronic health records
- 2009—Only about 1.5% of hospital have full electronic medical records







The right conclusion?

- Need to use funding to push technology into practice

 (\$40,000/doc)?
- Or that the technology has failed?

Are Doctors Luddites?







Has the promise of EMR been fulfilled?

			Error Frequency During Past 3 Months, %					
Never	Less Than Once a Week	About a Few Times a Week	About Once a Day	More Than Once per Day	Missing Response, %			
In	formation Errors	*						
27.3	34.6	28.5	7.3	2.3	0.3			
18.5	40.4	27.3	10.8	3.1	0.3			
48.6	29.0	12.0	6.2	4.2	0.6			
16.9	43.5	26.9	6.9	5.8	0.3			
Human-N	/lachine Interface	Flaws†						
45.4	32.3	12.3	5.0	5.2	0.3			
28.5	25.4	23.4	11.7	10.9	1.5			
16.3	45.0	33.1	8.8	4.6	0.3			
8.5	37.1	30.9	12.0	11.6	0.6			
	In 27.3 18.5 48.6 16.9 Human-N 45.4 28.5 16.3	Information Errors 27.3 34.6 18.5 40.4 48.6 29.0 16.9 43.5 Human-Machine Interface 45.4 28.5 25.4 16.3 45.0	Information Errors* 27.3 34.6 28.5 18.5 40.4 27.3 48.6 29.0 12.0 16.9 43.5 26.9 Human-Machine Interface Flaws† 45.4 32.3 28.5 25.4 23.4 16.3 45.0 33.1	Information Errors* 27.3 34.6 28.5 7.3 18.5 40.4 27.3 10.8 48.6 29.0 12.0 6.2 16.9 43.5 26.9 6.9 Human-Machine Interface Flaws† 5.0 28.5 25.4 23.4 11.7 16.3 45.0 33.1 8.8	Information Errors* 27.3 34.6 28.5 7.3 2.3 18.5 40.4 27.3 10.8 3.1 48.6 29.0 12.0 6.2 4.2 16.9 43.5 26.9 6.9 5.8 Human-Machine Interface Flaws† 5.0 5.2 28.5 25.4 23.4 11.7 10.9 16.3 45.0 33.1 8.8 4.6			

Abbreviation: CPOE, computerized physician order entry.

*Generated by fragmentation of data and failure to integrate the hospital's several computer and information systems.

†A reflection of machine rules that do not correspond to work organization or usual behaviors.







EMRs

- Very expensive
- Monolithic by design
- Tough to integrate into workflows
- Reduce productivity
- Difficult to customize or to integrate across systems
- Don't support information exchange
- Don't support communication
- Recently brought to light:
 - Hold harmless clauses
 - ✓ Gag orders
 - Congressional investigation









"I have no idea how you died. We don't have access to your medical records."







Disruptive Innovation

- What is it?
- Is it being prevented by:
 - Entrenched companies making products that are
 - Monolithic
 - Difficult to integrate
 - Complex and sprawling
 - Governmental protection?
- Will it happen anyway?



Children's Hospital Informatics Program







No Small Change for the Health Information Economy

Kenneth D. Mandl, M.D., M.P.H., and Isaac S. Kohane, M.D., Ph.D.

The economic stimulus package signed by President Barack Obama on February 17 included a \$19 billion investment in health information technology. How can we best take advantage of this unprecedented opportunity to computerize health care and stimulate the health information economy while also stimulating the U.S. economy? A health care system adapting to the effects of an aging population, growing expenditures, and a diminishing primary care workforce needs the support of a flexible information infrastructure that facilitates innovation in wellness, health care, and public health.

Flexibility is critical, since the system will have to function under new policies and in the service of new health care delivery mechanisms, and it will need to incorporate emerging information technologies on an ongoing basis. As we seek to design a system that will constantly evolve and encourage innovation, we can glean lessons from large-scale informationtechnology successes in other fields. An essential first lesson is that ideally, system components should be not only interoperable but also substitutable.

The Apple iPhone, for example, uses a software platform with a published interface that allows software developers outside Apple to create applications; there are now nearly 10,000 applications that consumers can download and use with the common phone interface. The platform separates the system from the functional-



Children's Hospital Informatics Program Wedical School











Can we build EHR as an "iPhone-like" Platform

- There is a common application programming interface that enables
 - Software developers to build SUSTITUTABLE applications
 - Push innovation to the edges
 - Nimbly evolve functionality
 - Avoid vendor lock
 - Shrink switching costs







Success of the iPhone platform

- 10,000→25,000→85,000 apps
- 2 Billion downloads
- 2800 medical apps







But what kind of platform(s)?

- Two major axes
 - ✓ Open vs. proprietary
 - Provider-centered vs. patient centered

 NB, the substitutable model is essential wherever you are on either of the above axes







Federal CTO, HHS CTO, ONC

- Health internet
- Distributed innovation
- Consumer engagement
- PCHRs as first order members of the network



Children's Hospital Informatics Program





www.itdothealth.org

Emerging Consensus to Create a 'Health Internet' With Broad Consumer Engagement

Harvard meeting explores how health information technology can be modeled on "iPhone-like" platform to spur innovation and reduce costs

BOSTON, Oct. 8 /PRNewswire-USNewswire/ — As government, industry and academic leaders work to transform the nation's health information system, there is increasing interest in the notion of a national health information network in which consumers can actively engage, and which can provide the foundation for an "iPhone-like" ecosystem of applications to compete on price and value. In such an ecosystem, purchasers of applications — whether physicians and hospitals buying electronic health records, or patients and consumers buying technology to support wellness and disease management — would be able to easily substitute any application for any other.

Assembled at a conference hosted by Children's Hospital Boston and Harvard Medical School, more than 100 thought leaders, including representatives from the Executive Office of the President, the Department of Health and Human Services, Google, Microsoft, IBM, and a diverse array of companies, universities, and organizations, explored innovative ways to transform the national Health IT system.

Kenneth Mandl, a physician and researcher at Children's Hospital Boston and associate professor at Harvard Medical School, explains: "If health information technology evolves to provide platforms that permit physician practices, hospitals, or patients to pick and choose from a 'store' of applications that are entirely substitutable, a competitive environment will emerge that allows for better pricing, more customized applications and innovations that cannot be anticipated at the moment. Value should be high, and switching costs low."

Mitch Kapor, founder of the Lotus Corporation and now Senior Advisor on Health Information Technology at the Center for American Progress, opened the meeting suggesting that a new "Health Internet" could arise through processes that parallel those in the personal computer and Internet revolutions. He highlighted the catalytic role that government played in defining common protocols for the Internet which enabled the Internet to be created from open source and proprietary software. He also called out the critical role of consumer applications in driving growth of the PC and the Internet, throwing into greater relief the requirements for success of a "Health Internet."

Isaac Kohane, director of the Children's Hospital Informatics Program and professor at Harvard Medical School elaborates: "The model has proven successful for personally controlled health record platforms such as the Indivo system developed at Children's Hospital Boston, Microsoft's HealthVault, and the GoogleHealth system. These consumer-driven platforms have attracted development of an ecosystem of third party applications that add value. Substitutability of healthcare applications gives doctors and patients choice in what best fits their needs."

Clayton Christensen, professor at Harvard Business School and author of *The Innovator's Prescription: A Disruptive Solution for Health Care*, strongly encouraged promotion of disruptive innovation in health information technology. He spoke about the need to move from current-stage complex monolithic health information systems toward platforms that distribute innovation and that engage the consumer. He emphasized that such disruption is a normal part of product life cycles across many industries and warned against policies that would stifle it.

Harvard Business School's Professor Regina Herzlinger, author of *Who Killed Health Care?: America's \$2 Trillion Medical Problem — and the Consumer-Driven Cure*, argued that the success of health reform is entirely predicated on giving the consumer a central role in managing health care finance and also the health information management tools to promote information transparency and shared decision-making.

Aneesh Chopra, US Federal Chief Technology Officer, and Todd Park, Chief Technology Officer of the US Department of Health and Human Services, floated for feedback the idea of an effort to extend the Nationwide Health Information Network (NHIN) to include consumer health information platforms, and engage consumers, privacy experts, and other interested parties in pursuing this idea. Park described the NHIN as a "Health Internet," which had been from its founding intended to involve consumers, providers, government organizations, and others in its fabric. On behalf of the Office of the National Coordinator for Health IT (ONC) and multiple supportive government organizations, including the Department of





Consider this back-of-the envelope calculation

On a social networking site for people with diabetes:

- Over one year, members in just 10 selected, geographically and demographically diverse states, spent approximately 54,000 hours online at the site.
- The average time onsite per member was just over 6 minutes per visit.
- At least half of these were returning members.
- Had we attempted to provide this much face-time in the traditional health care system, even assuming an unrealistically low reimbursement rate of \$100 per hour, the cost would have been \$5,400,000 dollars.







Hospitals do not have a history of sharing information



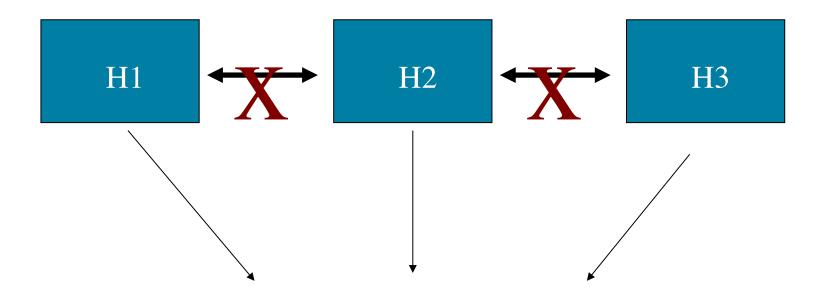
- Proprietary
- Perceived competition
- Privacy
- Health Insurance Portability and Accountability Act
- No dedicated resources to do so







The patient has rights to request the record



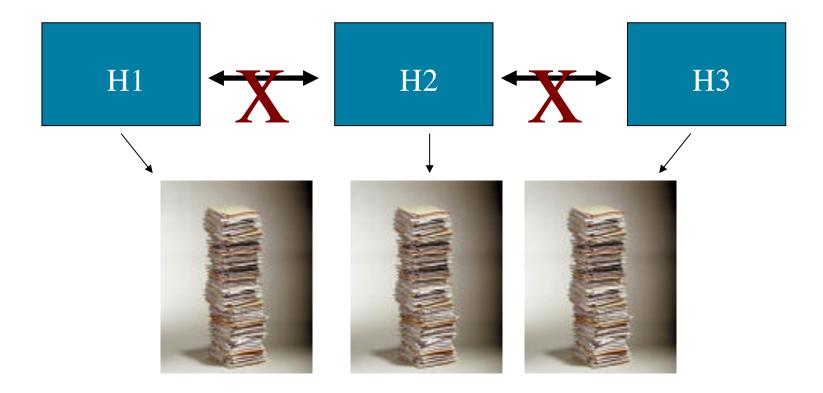
May I please have my record?







Currently . . .

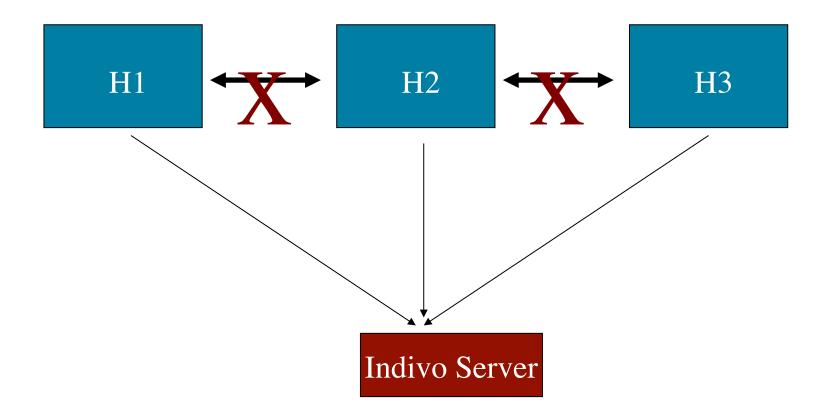








What if we gave patients a tool to request their records electronically?

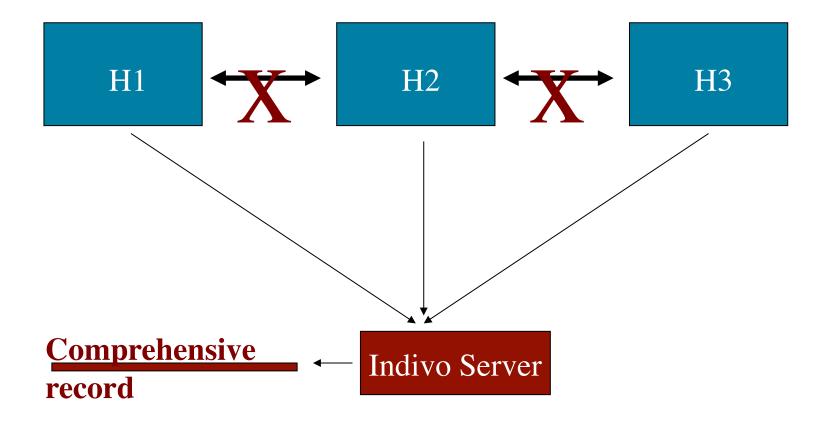








And create a personal health record

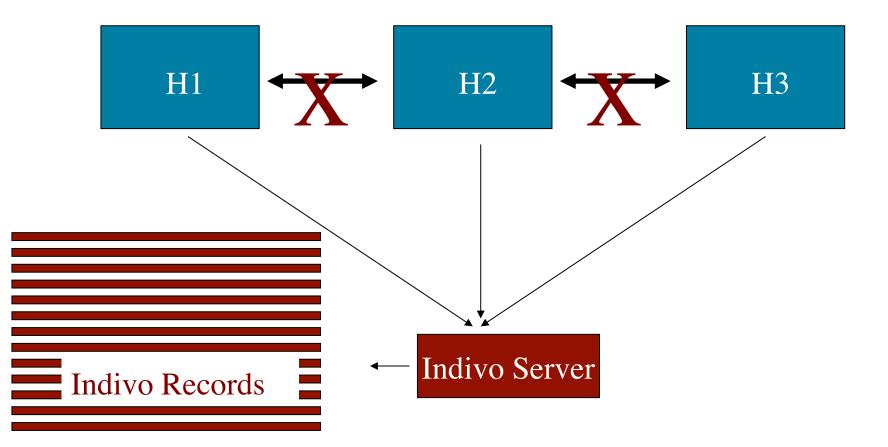








The collection of these records is a population health database







Our original statement on personal control

- A PCHR stored all of an individual's medical history in a container with:
 - ✓ patient control
 - ✓ interoperability
 - ✓ open standards

Information in practice

Public standards and patients' control: how to keep electronic medical records accessible but private

Kenneth D Mandl, Peter Szolovits, Isaac S Kohane

BMJ 2001;322:283-7



Intelligent Health Lab | Children's Hospital Informatics Program







Patient role

Patients can

- \checkmark access the record
- ✓ grant access to others
 - role specific to their role
 - of selected portions of the record
- \checkmark store their record in a location of their choice
- \checkmark annotate in the record







PCHRs: the reference application

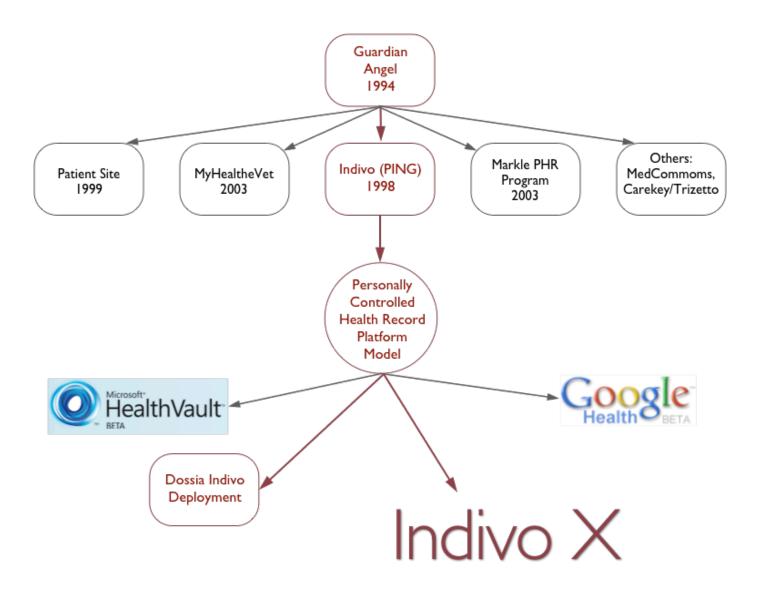
Indivo

- An open source PCHR
- Developed with CDC and NIH funding
- Built to public standards
- Deployments:
 - Children's Hospital Boston
 - Massachusetts Institute of Technology
 - Dossia founding company employees (Walmart, AT&T, Intel . . .)
 - Content of the second state of the second s
 - CGill University affiliated sites
- ✓ New release, Indivo X, fall 2009











Children's Hospital Informatics Program





Indivo: The Open Source PCHR

MyChildren's		loqout manage account help			
Total -	Health Re	« MyChildren's Home			
Health Records	Secure Messaging	Appointments	Demographics	Billing	

Select Patient: Child Ten Testing 💌

Child Ten Testing's Health Records

IMMUNIZATIONS

- » Bulletins
- » Account Preferences
- » Summary
- ▼ Health Profile
- ▶ Problems
- Medications
- Allergies
- Immunizations
 Current

Immunizations » Voided

- Immunizations » Pediatric Chart
- Equipment
- Measurements
- Clinical Information
- Reports
- » Subscriptions
- » Export Records
- Sharing
- undrinig -
- » Hidden Documents
- » Health Resources

ቍ Add immunizat	ion 🍞	Get immuniz	ation forecast		
Vaccine	Doses				
Hepatits B	12-Apr-00	11-Jul-01			
Rotavirus					
Diphtheria, Tetanus, Pertussis	<u>15-Nov-99</u>	<u>10-Jan-00</u>	<u>03-Mar-00</u>	07-Feb-01	<u>16-Jul-04</u>
Diphtheria, Tetanus					
Tetanus and Diphteria					
Haemophilus influenzae B	<u>15-Nov-99</u>	<u>10-Jan-00</u>	<u>03-Mar-00</u>	07-Feb-01	
Polio	15-Nov-99	10-Jan-00	09-Jun-00	11-Jul-01	
Measles, Mumps, Rubella	<u>11-Sep-00</u>				
Varicella	11-Sep-00				
Pneumococcal	11-Sep-00	07-Feb-01			
Hepatitis A					1
Meningococcal					

INDIVO[™] PERSONALLY CONTROLLED HEALTH RECORD







Verbs of personal control: SUBSCRIBE

MyChildren's	Children's Hospital	Boston	lo	user: GUARDIAN TESTING logout manage account help			
The state	Health Re	cords	« MyChildren's Home				
Health Records	Secure Messaging	Appointments	Demographics	Billing			
Select Patient: Child Ten Te							
Child Ten Testing's Health Records							
 » Bulletins » Account Preferences » Summary * Health Profile * Problems * Medications * Allergies * Allergies * Current Immunizations » Current Immunizations » Voided Immunizations » Voided Immunizations » Pediatric Chart 							
 Measurements Clinical Information 	RECORD	24 hours Record last updated 8 Nov 2008 10:10 AM					
 Reports Subscriptions Export Records Sharing Hidden Documents Health Resources 		total added/updated documents 39					



Children's Hospital Informatics Program





Verbs of personal control: SHARE

MyChildren's	() Children's Hospital	user: GUARDIAN TESTING logout manage account help			
To Store	Health Re	cords		« MyChildren's Home	
Health Records	Secure Messaging	Appointments	Demographics	Billing	
			Select Patie	ent: Child Ten Testing 💌	
Child Ten Te	esting's Hea	lth Records			
 » Bulletins » Account Preferenc » Summary * Health Profile 	Share	My Health Data s person already have			
 Problems Medications Allergies Immunizations 	● Yes○ No / NoContinue	Not Sure			
» Current Immunizations » Voided Immunizations » Pediatric Charl	t				
▶ Equipment					
Measurements					
 Clinical Information Reports 	1				
» Subscriptions					
» Export Records					
Sharing					
* My Records					
» With Groups					
» With Individua	ls				
» Other People's Records					
» Hidden Documents					
» Health Resources					





Medical School





"This one allows release of your information to a sitcom"







Verbs of personal control: EXPORT

MyChildren's		user: GUARDIAN TESTING logout manage_account help				
Health Records			« MyChildren's Home			
Health Records	Secure Messaging	Appointments	Demographics	Billing		

Select Patient: Child Ten Testing 🛛 💌

Child Ten Testing's Health Records

» Bulletins EXPORT » Account Preferences Export Your Record » Summary * Health Profile An export file may be used to transfer your personal health data to another system, or backup your data in another Problems location. Click the "Export & Download" button. When the Medications export has been generated, a browser notification will appear Allergies asking you to save the export to your computer. Immunizations » Current Please Note: If you're an IE 7.0 user, you may have to click the "Export & Immunizations Download" button twice to generate the exported file. » Voided EXPORT FORMAT * Immunizations IndivoHealth » Pediatric Chart • Equipment export & download Measurements Clinical Information Reports » Subscriptions » Export Records Sharing » Hidden Documents » Health Resources

www.pchri2006.org and www.pchri2007.org

D

PCHRI2006

The Harvard Medical School Meeting on Personally Controlled Health Record Infrastructure

PCHRI2007

The Harvard Medical School Meeting on Personally Controlled Health Record Infrastructure







"Clayton Christensen has done it again, "riting yet another book full of valuable insights . . . The Innovator's Prescription might just mark the beginning of a new era in healthcare." —MICHAEL BLOOMBERG, Mayor, New York City



A Disruptive Solution for Health Care

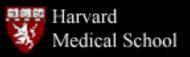


Clayton M. Christensen BESTSELLING AUTHOR OF *THE INNOVATOR'S DILEMMA* Jerome H. Grossman, M.D., & Jason Hwang, M.D. "We cannot overstate how important PHRs are to the efficient functioning of a low-cost, high quality health-care system We think that the INDIVO system, or something like it is a good place to start."

--Clayton Christensen Harvard Business School

2009





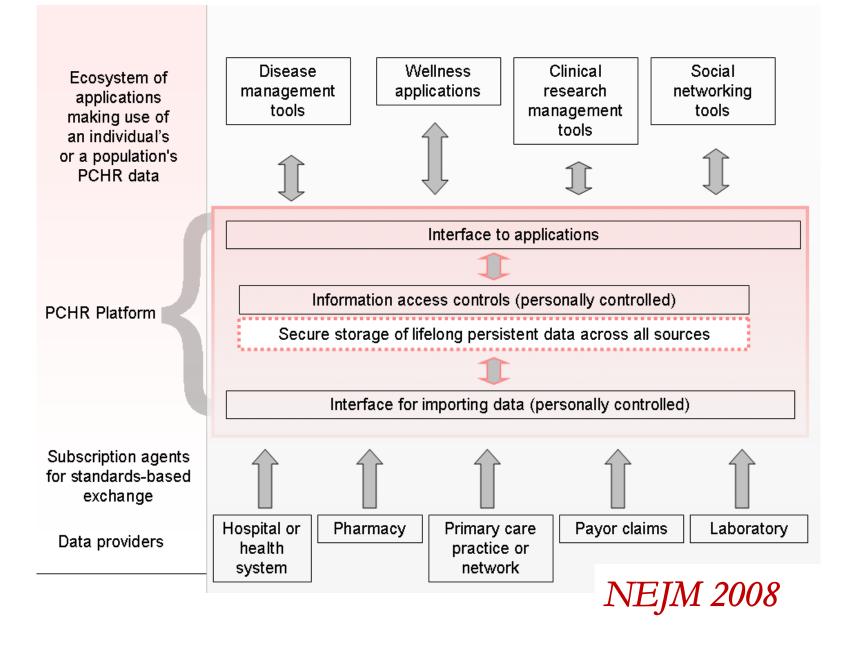
HST







ΗſΙ

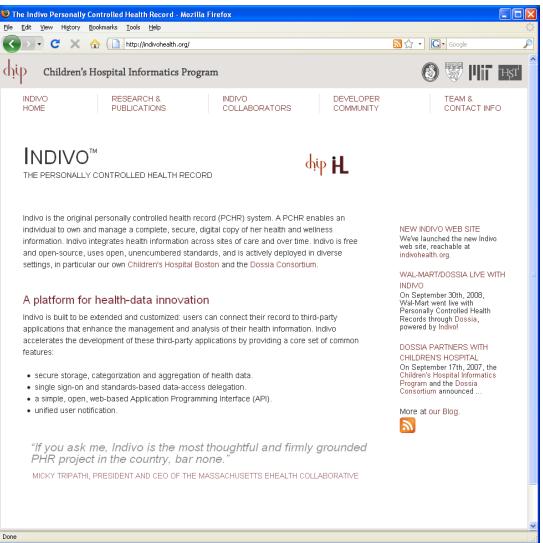








www.indivohealth.org





Children's Hospital Informatics Program Wedical School



O HealthVault Squin Hely Web health results Personal • Learn about HealthYault - Learn about Devices Web Application Directory Device Directory Health and fitness sites that connect with HealthVault Be better connected Take charge of your health These sites use the data in your HealthVault record to help you take your health to the next level. They'll first show you the types of data they want to access and get your permission to do so. today. Create a free HealthYault account) Once data's been added to your record, you can use it at other sites that use that data type-there's no need to enter it more than once. Learn more about how HealthVault works > ActivePHR Learn more b ACTIVE HEALTH from ActiveHealth Management HealthVault in Action Try now) Simplify your life. Organize all your family's medical See how you can manage information - prescriptions, test results, immunizations your health-and that of and even family medical histories - with this easy-to-use online tool. Plus a patented monitoring system that your family—with HealthVault. alerts you about opportunities for improved care. Watch Now! Heart360 Cardiovascular Wellness Center Learn more } Anorizan Mont American Simila Acceleration from American Heart Association and American Try now b Stroke Association Welcome to Heart360! Use it to manage your blood pressure, blood glucose, cholesterol, weight, nutrition and physical activity, while receiving education and information specific to your condition. New! Smart health solutions We did the research and Heart Profilers Learn more) Heart Profilers rounded up some handy from American Heart Association and Thomson Try now) Reuters applications and devices to help you achieve your most The Heart Profilers are online treatment decision tools for heart patients, their families, and caregivers. The common goals: Heart Profilers deliver accurate, up-to-date and personalized treatment options based on clinical studies. Lose or maintain weight . Get fit, stay fit Register now for your free, confidential, personalized Manage high blood report. pressure Organize family health info Trial X Learn more) Trial 🛱 Prepare for emergency from Applied Informatics, Inc. Try now } See all solutions) Trialx.org automatically matches participants to clinical trials based on their personal health information. Trialx.org uses an up-to-date database of 25,000+ trials approved by the US FDA. registeR4Health from CitiusTech Learn more) III CitiusTech Try now) registeR4Health is a simple tool that allows you to share registration information securely with your physician. It enables you to save time, eliminate registration errors and re-use information on your next visit. All registration information is securely stored in HealthVault. HealthCentral.com Health Tools Learn more) **Health**Central from HealthCentral.com Part of The HealthCentral Network, Inc... Try now) HealthCentral.com is a collection of more than 35 consumer-focused, condition-specific health and wellness sites which provide in-depth medical information, tools and vibrant communities. Healthy Circles Learn more } Mealthy from Healthy Circles Try now b Circles Healthy Circles is a free Personal Health Record (PHR) that allows for the storage and management of you and your loved one's health information. By way of a friendly interface, you can transform your data into interactive graphs and reports for use by you and your healthcare provider. Personal Health Record Learn more) lifeclinic from Lifeclinic.com Try now) The Lifeclinic Personal Health Record allows individuals to record biometric readings and health information. Biometric readings are automatically inserted into your Personal Health Record when saved at a Lifeclinic Health Station (automated blood pressure monitor) connected to the Internet.







SURVEILLANCE MODEL



Children's Hospital Informatics Program Wedical School





Distribute ISUS I want to see: Advanced Tools Data Set/Category Remove Fever (broad) 💙 🛛 All Ages 🔽 × Show: Percent of Emergency Department Visits For Influenza-Like Illness (ILI) per Week • % of total ED Visits 9.60 | 00:00 November 02, 2009 ✓ % of total ED Visits 12 10 Jul 2009 Aug 2009 Sep 2009 Oct 2009 Data Smoothing: 🔲 smooth < by 3 🗸 weeks mean: 7.45 median: 7.6 standard deviation: -47.76 Dataset: Fever (broad) \wedge Dakota Category: All Ages $\leftarrow \Rightarrow$ \mathbf{V} South Dakota 2009-11-02 to 2009-11-09 💌 Michigar + lacksquareVermont Nebraska New Hampshire Massachusetts Rhode Island Missour Connecticut orth⁻acific Ocian New Jersey ennesse Delaware Maryland Ī **District** of Texas ouisiana North Atlantic Ocean Florida Matemagery ©2009 JerraMetrics, Map data ©2009 Google, Tele Atlas, INEGI - <u>Terms of Us</u> AEGIS PLATFORM



Children's Hospital Informatics Program Wedical School





Distribute ISUS I want to see: Advanced Tools Data Set/Category Remove Fever (broad) 💙 🛛 All Ages 🔽 × Show: Percent of Emergency Department Visits For Influenza-Like Illness (ILI) per Week • % of total ED Visits 9.60 | 00:00 November 02, 2009 ✓ % of total ED Visits 12 10 Jul 2009 Aug 2009 Sep 2009 Oct 2009 Data Smoothing: 🔲 smooth < > by 3 🗸 weeks mean: 7.45 median: 7.6 standard deviation: -47.76 Dataset: Fever (broad) $|\uparrow|$ X Alberta Category: All Ages $oldsymbol{eta}$ Location: Indiana < * → Percentage: 11.3 \downarrow 2009-11-02 to 2009-11-09 💌 Weekly Percentage of Visits Saskatchewan + in Indiana due to Influenza-Like Illness 14-12 10-% of total ED Visits 8 Iontana Dakota \mathbf{O} Vermont Nebraska New Hampshire Pennsylvania Massachusetts Rhode Island an data ©2009 Google, Tele Atlas - <u>Terms of Use</u> AEGIS PLATFORM







CONSENTED surveillance



The NEW ENGLAND JOURNAL of MEDICINE

SOUNDING BOARD

Health-Information Altruists — A Potentially Critical Resource

Isaac S. Kohane, M.D., Ph.D., and Russ B. Altman, M.D., Ph.D.

One of the key ideas behind sequencing the human genome was the promise of "personalized medicine." The idea was that genetic information could be used to make health care more precise, efficacious, and safe. The Human Genome Project showed us that among humans, DNA sequences are 99.9 percent similar, but the remaining 0.1 percent, in the context of environmental and epigenetic factors, produces the entirety of genetic variability within the human population. How can we use When they agree to participate in research studies,

the National Human Genome Research Institute, has called for large cohorts (at least 200,000 subjects) to be assembled simply to achieve the necessary sample sizes to overcome the problems of cross-sectional studies.2

CAUSE FOR CONCERN: NO PERFECT ANONYMITY

2006





High potential for information altruism

- Surveyed experienced PCHR users about willingness to share information from record for population health and public health
 - 34% users "very agreeable"
 - 35% "moderately agreeable"
 - 21% "somewhat agreeable" to sharing for population health monitoring
 - After more than one year of exposure to a pilot system, ONLY 9% report they are "not agreeable" to sharing







THE CLINICAL AND TRANSLATIONAL RESEARCH MODEL



PCHR vendors and users create large accessible populations for public health study and intervention



Tectonic Shifts in the Health Information Economy

Kenneth D. Mandl, M.D., M.P.H., and Isaac S. Kohane, M.D., Ph.D.

In a recent shift in the health information land- at one hospital, a visit to an emergency departscape, large corporations are seeking an integral ment at another hospital, and test results at a laband transformative role in the management of oratory. She logs into her hosted PCHR at a secure health care information. The mechanism by which Web site. Since she has established subscriptions this transformation is likely to take place is through the creation of computer platforms that cal entities, her PCHR is current with copies of will enable patients to manage health data in per- those data. sonally controlled health records (PCHRs). Two types of large corporations are involved. Technol- cess to information (views or even copies of the ogy companies such as Google and Microsoft see record) to others, including clinical providers,

to automatic updates from each of these clini-

The PCHR enables the patient to authorize ac-

New England Journal of Medicine, April 2008

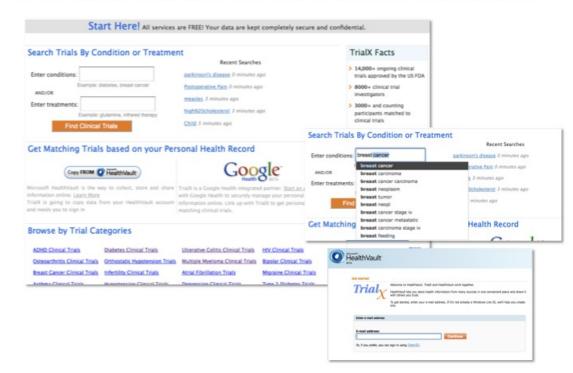






Trial Sign Up as Patient | Sign Up as Investigator | Sign in Enabling Patients to Find New Treatments. Help Take a Tour Patients Community

1. Search for clinical trials by entering or importing your health information



Match yourself to clinical trials using our unique decision engine 2.

* Your Health Condition:						
breast cancer e.g. Diabetes or Breast Concer Your Location:	Clinical Trials	Age = 55	Gender = female	Condition = breast cancer	State = NY	Match Score
	A Study of Effectiveness of Trabect	0	٥	0	0	Excellent Match(7/7)



0

Children's Hospital Informatics Program





The New York Times Friday, May 9, 2008

Health

THE WALL STREET JOURNAL.

Today's Newspaper 🔻

WORLD U.S. N.Y./REGION BUSINESS TECHNOLOGY SCIENCE HEALTH SPORTS

RESEARCH FITNESS & NUTRITION MONEY & POLICY

Well

Tara Parker-Pope on Health

Back to front page »

April 18, 2008, 11:11 am

Can We Trust Google With Our Medical Records?



Heartbeat Genes Could Provide Alternativ[...] -- PREVIOUS SEE ALL POSTS FROM THIS BLOG NEXT April 17, 2008, 8:56 am Can Microsoft and Google Protect Our Health Records? Posted by Sarah Rubenstein Microsoft and Google are good at a lot of stuff. But will they be good at safeguarding patients' private health information? And what happens if they mess up?

My Online Journal 🔻

Both technology giants have unveiled online personal health records that patients can use to store what could be treasure troves of data — for the patients as well as everyone from clinical researchers to marketers. (Microsoft's has launched.) But a critique in this week's issue of the New England Journal of Medicine points out that the two companies aren't "covered entities" under the major federal law, HIPAA, that has patient-privacy protections. Translation: They don't have to follow it.



Multimedia & Online Extras 🔻

The New York Times

Business

 WORLD
 U.S.
 N.Y. / REGION
 BUSINESS
 TECHNOLOGY
 SCIENCE
 HEALTH
 SPORTS
 OPINION

 MEDIA & ADVERTISING
 WORLD BUSINESS
 SMALL BUSINESS
 YOUR MONEY
 DEALBOOK
 MARKETS
 RESEARCH

Health

Nation & World

Home > Health

Print | E-mail | Subscribe | + Share

Health

Patient-Controlled Health Records Could Change Future of Research

Friday, May 9, 2008

Education

Opinion

Science

Photo

Video

Used wisely, they may spur discoveries, but some warn privacy needs regulation Posted 4/16/08

WEDNESDAY, April 16 (HealthDay News) -- Increasing patient control of health records could dramatically change how medical research is conducted, say Children's Hospital Boston researchers.

Money & Business



In a Sounding Board article in the April 17 issue of the *New England Journal of Medicine*, the researchers noted that the shift to personally controlled health records (PCHRs) will give patients and doctors easier access to records during clinical care and will also have a major impact on the conduct or biomedical research.

Warning on Storage of Health Records

By STEVE LOHR Published: April 17, 2008

In an <u>article in The New England Journal of Medicine</u>, two leading researchers warn that the entry of big companies like <u>Microsoft</u> and <u>Google</u> into the field of personal health records could drastically alter the practice of clinical research and raise new challenges to the privacy of patient records.

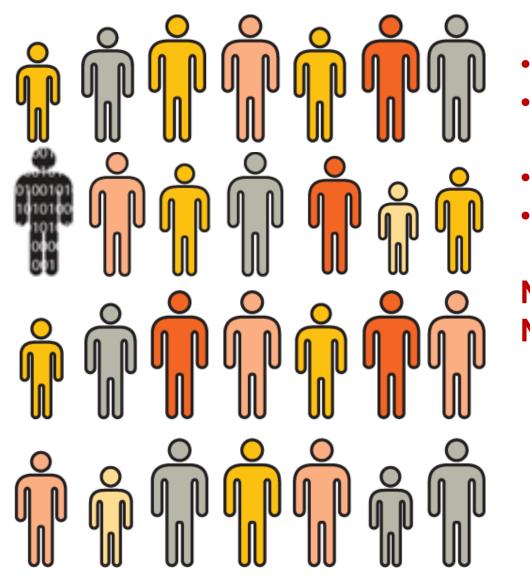
The authors, Dr. Kenneth D. Mandl and Dr. Isaac S. Kohane, are longtime proponents of the benefits of electronic patient records to improve care and help individuals make smarter health decisions.

But their concern, stated in the article published Wednesday and in an interview, is that the medical profession and policy makers have not begun to grapple with the implications of companies like Microsoft and Google becoming the hosts for vast stores of patient information.









- Genes
- Environment
 - Microbiome
- Phenotype
- Healthcare

NEED LARGE N NEED data capture at home and in clinics



Children's Hospital Informatics Program





Linking Clinical Care to Research via the PHR Platform : The Informed Cohort

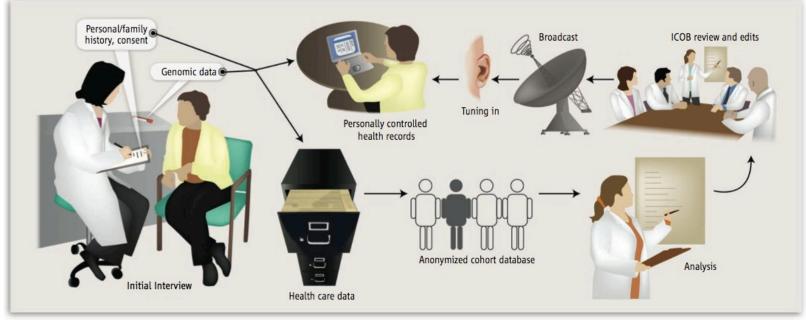
POLICYFORUM

MEDICINE

Reestablishing the Researcher-Patient Compact

Isaac S. Kohane,^{1,2,3}* Kenneth D. Mandl,^{1,2,3} Patrick L. Taylor,^{2,4} Ingrid A. Holm,^{2,5} Daniel J. Nigrin,^{1,2,3} Louis M. Kunkel^{2,5,6}

Well-intentioned regulations protecting privacy are denying important information to patient subjects. Advances in information technology mean that a better approach to clinical research is possible.



Science, 2007







Limitations on PCHRs

- Slow adoption
- Few clinical data systems yet export to PCHRs
- Constraints on consumers' perceived value (apps not yet there)
- Regulatory flaws, e.g., CLIA
- Consent issues are complex
 - Terms of use is not consent
 - ✓ Family consent







An important barrier: consent and permissions for pediatric pop. is complex

Journal of the American Medical Informatics Association Volume 15 Number 6 November / December 2008

737

Viewpoint Paper 🗖

Whose Personal Control? Creating Private, Personally Controlled Health Records for Pediatric and Adolescent Patients

FABIENNE C. BOURGEOIS, MD, MPH, PATRICK L. TAYLOR, JD, S. JEAN EMANS, MD, DANIEL J. NIGRIN, MD, MS, KENNETH D. MANDL, MD, MPH

Abstract Personally controlled health records (PCHRs) enable patients to store, manage, and share their own health data, and promise unprecedented consumer access to medical information. To deploy a PCHR in the pediatric population requires crafting of access and security policies, tailored to a record that is not only under patient control, but one that may also be accessed by parents, guardians, and third-party entities. Such hybrid control of health information requires careful consideration of both the PCHR vendor's access policies, as well as institutional policies regulating data feeds to the PCHR, to ensure that the privacy and confidentiality of each user is preserved. Such policies must ensure compliance with legal mandates to prevent unintended disclosures and must preserve the complex interactions of the patient-provider relationship. Informed by our own operational involvement in the implementation of the Indivo PCHR, we provide a framework for understanding and addressing the challenges posed by child, adolescent, and family access to PCHRs.

J Am Med Inform Assoc. 2008;15:737–743. DOI 10.1197/jamia.M2865.

Journal of the American Medical Informatics Association, 2008







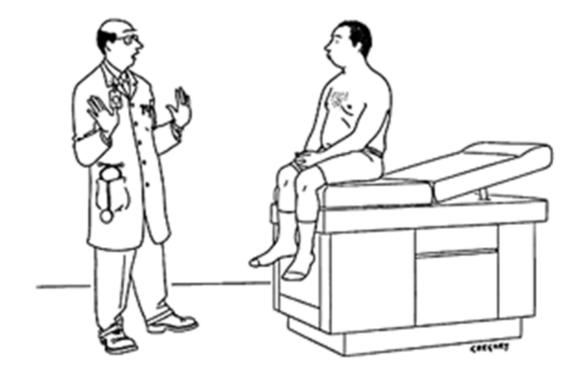
Next challenges

- Myth of personal control
- What flows across the API
- How data hungry are the applications?
- Can we make this interesting to patients/consumers?









"Whoa—<u>way t</u>oo much information!"



Children's Hospital Informatics Program



